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**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Louis B.	ROSENBERG	et al.
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Serial No.:

10/615,927

Examiner:

Unassigned

Filed:

July 10, 2003

Art Unit:

2675

Confirmation No. 9912

For:

NETWORKED APPLICATIONS INCLUDING HAPTIC FEEDBACK

U.S. Patent and Trademark Office 220 20<sup>th</sup> Street S. Customer Window, Mail Stop Amendment Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[x]	In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
	[] a statement as specified in 37 C.F.R. §1.97(e).
[]	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
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Application Serial No.: 10/615,927 Attorney Docket No.: IMMR-106/01US Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: October 18, 2004

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5656 Tel: (703) 456-8000

Tel: (703) 456-8000 Fax: (703) 456-8100

221208 v1/RE 4q\_\_01!.DOC Respectfully submitted, COOLEY GODWARD LLP

By:

Erik B. Milch Reg. No. 42,887

### Attorney Docket No. IMMR-106/01US

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [x] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A (1 of 2) is provided on the enclosed CD.
- [x] No copies of the publications listed on the attached Form PTO/SB/08A (2 of 2) are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial Nos. 09/153,781, filed September 16, 1998, 08/571,606 (now U.S. Patent No. 6,219,032 B1), 09/050,665 (now U.S. Patent No. 6,219,033 B1), 08/664,086 (now U.S. Patent No. 6,028,593), 08/691,852 (now U.S. Patent No. 5,956,484) and/or 08/566,282 (now U.S. Patent No. 5,734,373) to which the above-identified application claims priority under 35 U.S.C. §120.
- [] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- [] Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. and mailed on .
- [] Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

Attorney Docket No.: IMMR-106/01US

Serial No.: 10/615,927

Page 2

	[]		ed is a copy of a non-English publication(s) English language ation (copy enclosed) claims priority from this non-English ation.
	[]		ed is an explanation of non-English publication(s) for which an h translation is not available.
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time pe	This In	nformati	on Disclosure Statement is filed within any one of the following
		[]	within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
		[]	within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
		[x]	before the mailing date of a first office action on the merits; or
		[]	before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.
	It is res	spectful	ly requested that the Examiner consider the above-noted
inform	ation ar	nd retur	n an initialed copy of the attached Form PTO/SB/08A to the
unders	igned.		

Dated: October 18, 2004

Cooley Godward LLP One Freedom Square Reston Town Center 11951 Freedom Drive Reston, Virginia 20190-5656 (703) 456-8000 (703) 456-8100

By:

Erik B. Milch

Respectfully submitted, COOLEY GODWARD LLP

Reg. No. 42,887

221206 v1/RE 4q\_m01!.DOC Substitute for form 1449A/PTO

Sheet

PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 6

Complete if Known 10/615,927 **Application Number Filing Date** July 10, 2003 **First Named Inventor** Louis B. Rosenberg Art Unit 2675 **Examiner Name** Unassigned IMMR106/01US Attorney Docket Number

U.S. PATENT DOCUMENTS					
		Document Number			
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		6,422,941	7/23/2002	Thorner et al.	
		6,349,301	2/19//2002	Mitchell et al.	
		6,160,489	12/12/2000	Perry et al.	
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		4,713,007	12/15/1987	Alban	

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
			Application Number	10/615,927	
INFORMAT	TION DIS	CLOSURE	Filing Date July 10, 2003		
STATEME	NT BY A	PPLICANT	First Named Inventor Louis B. Rosenberg		
			Art Unit	2675	
(use as m	any sheets as	necessary)	Examiner Name	Unassigned	
Sheet 2	of	6	Attorney Docket Number	IMMR106/01US	

			U.S. PATENT DOC	UMENTS	
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,708,656	11/24/1987	De Vries et al.	
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Examiner Cite No.1	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines,		
	Initials* No.1 Number4	Kind Code <sup>8</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear		
		EP	0349086		1/3/1990	Stork Kwant B.V.	
		JP	H2-185278		7/19/1990	Taito Corporation	,
		JP	H4-8381		1/13/1992	Epoch Co. and Key- Planning Co.	
		JP	H7-24147		1/27/1995	Sega Corporation	
		JP	H5-192449		8/3/1993	Taito Corporation	

Examiner Signature	***	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT	First Named Inventor Louis B. Rosenberg		
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Sheet 3 of 6	Attorney Docket Number	IMMR106/01US	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		BAIGRIE, "Electric Control Loading - A Low Cost, High Performance Alternative," <i>Proceedings of Interservice/Industry Training Systems Conference</i> , pp. 247-254, November 6-8, 1990.	
		IWATA, "Pen-based Haptic Virtual Environment," 0-7803-1363-1/93 IEEE, pp 287-292, 1993.	
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Examiner	Date	
Signature	Considered	

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	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
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	KONTARINIS et al., "Display of High-Frequency Tactile Information to Teleoperators," <i>Telemanipulator Technology and Space Telerobotics</i> , Won S. Kim, Editor, Proc. SPIE Vol. 2057, pp. 40-50, Sep. 7-9, 1993.
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Examiner	Date	
Signature	Considered	

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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		BEJCZY et al., "Kinesthetic Coupling Between Operator and Remote Manipulator," International Computer Technology Conference, The American Society of Mechanical Engineers, San Francisco, CA, August 12-15, 1980.
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		AUKSTAKALNIS et al., "Silicon Mirage: The Art and Science of Virtual Reality," ISBN 0-938151-82-7, pp. 129-180, 1992.
		EBERHARDT et al., "Inducing Dynamic Haptic Perception by The Hand: System Description and Some Results," DSC-Vol. 55-1, <i>Dynamic Systems and Control</i> : Volume 1, ASME 1994.
		GOBEL et al., "Tactile Feedback Applied to Computer Mice," International Journal of Human-Computer Interaction, Vol. 7, No. 1, pp. 1-24, 1995.
		PIMENTEL et al., "Virtual Reality: through the new looking glass," 2 <sup>nd</sup> Edition; McGraw-Hill, ISBN 0-07-050167-X, pp. 41-202, 1994.
Evaminer	1	Data

Examiner	Date	
Signature	Considered	

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	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		*Cyberman Technical Specification,* Logitech Cyberman SWIFT Supplement to Logitech Mouse Technical Reference and Programming Guide, 4/5/1994.			
		OUHYOUNG et al., "The Development of A Low-Cost Force Feedback Joystick and Its Use in the Virtual Reality Environment," <i>Proceedings of the Third Pacific Conference on Computer Graphics and Applications, Pacific Graphics</i> '95, Seoul, Korea, 21-24 August 1995.			
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		LAKE, "Cyberman from Logitech," at http://www.ibiblio.org/GameBytes/issue21/greviews/cyberman.html, 1994.			
		"Component Maintenance Manual With Illustrated Parts List, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 28 January 2002 (3 pages).			
		"Technical Manual Overhaul Instructions With Parts Breakdown, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 15 July 1980 (23 pages).			
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		YAMAKITA et al., "Tele-Virtual Reality of Dynamic Mechanical Model," Proceedings of the 1992 IEEE/RSJ International Conference on Intelligent Robots and Systems, Raleigh, NC, July 7-10, 1992			
		NOLL, "Man-Machine Tactile," SID Journal, July/August 1972 Issue.			
		ROSENBERG, "Virtual Fixtures: Perceptual Overlays Enhance Operator Performance In Telepresence Tasks," Ph.D. Dissertation, Stanford University, June 1994.			

1	Examiner	 Date	
	Signature	Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 18

Substitute for form 1449A/PTO

Complete if Known				
Application Number	10/615,927			
Filing Date	July 10, 2003			
First Named Inventor	Louis B. Rosenberg			
Art Unit	2675			
Examiner Name	Unassigned			
Attorney Docket Number	IMMR106/01US	-		

	U.S. PATENT DOCUMENTS				
		Document Number			
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2,906,179	09/1959	Bower	
		3,157,853	11/17/1964	Hirsch	
		3,220,121	11/30/1965	Cutler	
		3,490,059	01/1970	Paulsen et al.	
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		3,531,868	10/1970	Stevenson	
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		3,875,488	04/1975	Crocker et al.	
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		4,593,470	06/1986	Davies	
		4,599,070	07/08/1986	Hladky et al.	

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Substitute for form 1	449A/PTO	· <u>-</u>		Complete if Known
			Application Number	10/615,927
INFORMATION DISCLOSURE		Filing Date	July 10, 2003	
STATEME	NT BY A	PPLICANT	First Named Inventor	Louis B. Rosenberg
			Art Unit	2675
(use as r	nany sheets as	s necessary)	Examiner Name	Unassigned
Sheet 2	of	18	Attorney Docket Number	IMMR106/01US

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		4,601,206	07/1986	Watson	
		4,603,284	07/29/1986	Perzley	
		4,604,016	08/1986	Joyce	
		4,632,341	07/1987	Repperger et al.	
		4,638,798	01/1987	Sheldon et al.	
		4,653,011	03/1987	Iwano	
		4,654,648	03/31/1987	Herrington et al.	
		4,676,002	06/1987	Slocum	
		4,679,331	12/1986	Koontz	
		4,688,983	08/1987	Lindbom	
		4,689,449	08/25/1987	Rosen	
		4,692,756	09/1987	Clark	
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		4,819,195	04/1989	Bell et al.	
		4,823,634	04/25/1989	Culver	
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		4,853,874	08/01/1989	lwamoto et al.	
		4,868,549	09/19/1989	Affinito et al.	

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Substitute for form 1449A/PTO	Complete if Known		
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INFORMATION DISCLOSURE	Filing Date	July 10, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Louis B. Rosenberg	
	Art Unit	2675	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 3 of 18	Attorney Docket Number	IMMR106/01US	

		Document Number			
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,879,556	11/1989	Duimel	
		4,888,538	12/19/1989	Dimitov et al.	
		4,888,877	12/1989	Enderle et al.	
		4,891,764	010/2/1990	McIntosh	
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		4,925,312	05/1990	Onaga et al.	
		4,930,770	06/05/1990	Baker	
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		5.044.956	09/03/1991	Behensky et al.	
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INFORMATION DISCLOSURE	Filing Date	July 10, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Louis B. Rosenberg	
	Art Unit	2675	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 4 of 18	Attorney Docket Number	IMMR106/01US	

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Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,050,608	09/29/1991	Watanabe et al.	
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		5,187,874	02/1993	Takahashi et al.	
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	Examiner Signature	Date Considered	

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(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 5 of 18	Attorney Docket Number	IMMR106/01US

			U.S. PATENT DOC	JMENTS	
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		5,189,806	03/1993	McMurtry et al.	· · · · · · · · · · · · · · · · · · ·
		5,193,963	03/16/1993	McAffee et al.	
		5,195,179	03/1993	Tokunaga	
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		5,204,824	04/1993	Fujimaki	
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		5,275,565	01/04/1994	Moncrief	
		5,286,203	02/1994	Fuller, et al.	
		5,289,273	02/22/1994	Lang	
		5,296,846	03/1994	Ledley	
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		5,298,890	03/1994	Kanamaru et al.	
		5,299,810	04/05/1994	Pierce	
		5,309,140	05/03/1994	Everett	
		5,313,230	05/1994	Venolia et al.	

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INFORMATION DISCLOSURE	Filing Date	July 10, 2003
STATEMENT BY APPLICANT	First Named Inventor	Louis B. Rosenberg
	Art Unit	2675
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 6 of 18	Attorney Docket Number	IMMR106/01US

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		5,334,027	08/02/1994	Wherlock	
_		5,341,459	08/23/1994	Backes	
		5,351,692	10/1994	Dow et al.	
		5,354,162	10/11/1994	Burdea et al.	
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		5,451,924	09/19/1995	Massimino et al.	
		5,459,382	10/17/1995	Jacobus et al.	
		5,466,213	11/14/1995	Hogan	
		5,467,763	11/1995	McMahon et al.	
		5,471,571	11/1995	Smith et al.	
		5,482,051	01/1996	Reddy et al.	
		5,512,919	04/30/1996	Araki	
		5,513,100	04/30/1996	Parker et al.	

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INFORMATION DISCLOSURE	Filing Date	July 10, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Louis B. Rosenberg		
	Art Unit	2675		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 7 of 18	Attorney Docket Number	IMMR106/01US		

		Document Number			
Examiner	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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			U.S. PATENT DOC	UMENTS	
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		Country Code <sup>3</sup>	Number⁴ ki	ind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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		OTHER PRIOR ART NON PATER	NT LITERATUR	E DOCUMENTS
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	<del>,</del>	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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STATEMENT BY APPLICANT	First Named Inventor	Louis B. Rosenberg
	Art Unit	2675
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